I. Preface

In the Department of Management, non-tenure-eligible faculty primarily supports tenure-track and permanent adjunct faculty in the area of teaching. To ensure and encourage quality performance, the department will conduct systematic assessments through annual reviews and during reappointment and advancement opportunities for all non-tenure-eligible faculty.

Non-tenure-track faculty positions are term appointments eligible for renewal based on the quality of performance, the continuing need of the department, and are subject to approval by the Dean and the Provost. Individuals appointed to these positions will be evaluated for compensation and advancement using established criteria appropriate to their positions. Evaluations for renewal of appointment will be conducted by the Departmental Promotion and Tenure Committee and recommended by the department chair. Two types of non-tenure-track appointments are applicable:

- Lecturer or Clinician: a limited term full or part-time appointment of from one semester to three years and renewable for no more than a total of six years.

- Senior Lecturer or Senior Clinician: a limited term full or part-time appointment not to exceed five years, requiring a notice of one year of intent not to renew. To be eligible for appointment as Senior Lecturer/Clinician, the individual shall have served as a Lecturer/Clinician or its equivalent for six years.

II. General Guidelines for Reviews

Deliberations relating to all reviews are highly sensitive, requiring openness and candor of all involved in the review process. Therefore, the confidentiality of these deliberations shall be maintained by each person involved in the review process.

Results of all reviews and recommendations must be provided to the individual being reviewed as soon as practical. In the case of reappointment and/or advancement to Senior Lecturer or Senior Clinician, the Department Chair must inform the individual of the department’s recommendation and the Department Chair’s recommendation before they are submitted to the Dean. The Dean must also inform the individual of the college’s recommendation after the Dean has completed his/her review.

III. Position Responsibility Statement (PRS) and Guidelines for Annual Review

Each non-tenure-track faculty member’s performance must be reviewed on the basis of teaching, and additional responsibilities as outlined in their PRS, if any, to help achieve department and college expectations and to assist the individual in contributing to these expectations. The PRS, as defined in
At the beginning of each calendar year each non-tenure-eligible faculty member must submit a completed Professional Responsibility Report (PRR) to the Department Chair. An outline of the items contained in the PRR is found in the Faculty Review and Evaluation Policies and Procedures pages 14-15. While the PRR allows flexibility such that a faculty member can make note of accomplishments in all areas of responsibility (i.e., teaching, research and institutional service), individuals need to complete only those sections relating to their own duties as specified in their individual PRS. This would include, but not necessarily be limited to, the faculty member's student evaluations for the reporting year. More specific criteria to evaluate non-tenure-track faculty are found in departmental Policies and Procedures for Annual Review, Reappointment and Advancement for Non-tenure-track Faculty documents.

The Department Chair will review the PRRs and prepare a written evaluation of the individual’s performance. The Department Chair and the individual will subsequently review these documents in a face-to-face meeting prior to the end of the Spring semester or at the end of the individual’s contract period whichever is earlier. The documents will become part of the individual's personnel record.

IV. Reappointment

Prior to the time at which a non-tenure-eligible faculty member must be reappointed, a review of the individual's performance will be conducted by the Departmental Promotion and Tenure Committee (DPTC) or a sub-committee thereof. Details as to the composition of the committee are found in each department’s Faculty Review and Evaluation Policies and Procedures document. Deadlines for all components of the evaluation will be the responsibility of the Department Chair, who will notify all parties of these deadlines.

Assessment processes: The non-tenure-eligible faculty member must submit a package consisting of his/her own personal statement containing a self-assessment of performance in the area of teaching over the previous appointment period, and any other areas specified in his/her PRS. Depending on the PRS of that faculty member, the assessment should include information about teaching (including student evaluations of instructors), service, and research expectations. The self-assessment needs to highlight both outcomes of the performance dimension as well as the processes used to achieve those objectives (such as approach to classroom pedagogy). To evaluate teaching, the committee may also ask the faculty member for additional information such as grade distributions, syllabi, tests, sample term papers, sample overheads or slides, may observe the NTT faculty member’s in class performance and talk to students in the class about a teacher’s performance. In addition, all PRRs that were submitted over the previous appointment period should also be included. To evaluate service, the committee may also ask the faculty member to provide the names and contact information of those who were provided services.

Assessment report: In the report to department chair, the committee will comment on the content of course material, classroom performance standards, and student assessments of teaching performance. The committee will then make a recommendation to the department chair about the length of contract renewal and the next time performance evaluations will be conducted (at
least once every three years) and all teaching evaluations. The Department Chair shall communicate the report to the NTT faculty member and become a permanent part of the report.

Based on the Department Chair’s assessment and the recommendation of the DPTC, the Chair will make a recommendation to the Dean regarding the candidate’s reappointment. The Dean will then make a decision regarding reappointment, notify the Department Chair as to his/her decision, and forward his/her recommendation and appropriate documents to the Provost’s Office for a final decision.

V. Advancement to Senior Clinician or Senior Lecturer

Purpose: Promotion to Senior Lecturer is granted to a non-tenure eligible faculty member on the basis of an assessment of the faculty member’s cumulative achievements in his/her personal responsibility areas.

Standards: Promotion to Senior Lecturer will, unless otherwise noted in the faculty member’s PRS, be based on the faculty member’s teaching performance, supplemented with information on his/her service to the department, college and university.

Procedures: Six months prior to the date at which a non-tenure-eligible faculty member becomes eligible for advancement, the candidate notifies the Department Chair of his/her intention to seek advancement. The department promotion and tenure committee reviews all applications for NTT promotion to Senior Lecturer. It provides a written report on each case evaluating the candidate’s performance in accordance with the candidate’s PRS and criteria discussed in Section III of this document. This report along with the committee’s recommendations and vote is submitted to the Department Chair and become a part of the candidate’s dossier.

The Department Chair also reviews and provides an independent written report on each candidate in accordance with the candidate’s PRS and criteria discussed in Section III of this document. The Department Chair’s report is added to the dossier which is submitted to the dean of the college.

Each dossier submitted to the college committee shall include the following materials –

• The Department Chair Report providing an evaluation of the candidate’s performance on each of the relevant PRS areas, with particular emphasis given to teaching performance.

• The Departmental P&T Committee Report providing an evaluation of the candidate’s performance on each of the relevant PRS areas, with particular emphasis given to teaching. The report should provide a recommendation concerning the promotion decision and a tally of the vote of the tenured faculty of the department. Minority reports, if applicable, are to be included.

• Curriculum Vitae and Personal Statement - The personal statement allows each candidate to summarize his/her goals, accomplishments and future plans with regard to each applicable area of responsibility as outlined in the NTT faculty member’s PRS. A rationale for the application, including the timing of the application, should be included as part of this personal statement.

• Faculty Portfolio providing important and supplemental materials describing the candidate’s activities and achievements in each of the relevant PRS areas. Particular emphasis is placed on the candidate’s teaching performance. The portfolio allows the candidate to identify and document
peer recognition and impact/contribution of one’s accomplishments. These materials may be presented in the following form:

- all PRRs, recommendations and student evaluations accumulated prior to becoming a candidate for advancement.
- a teaching portfolio that contains various measures of teaching performance, any indication of student learning, peer acknowledgements and other evidence consistent with the general criteria in Section III.
- a research portfolio (if applicable) that contain summaries of completed, current and proposed research, peer assessments of one’s contributions to the field, and other evidence consistent with the general criteria in Section III.
- an extension and professional service portfolio (if applicable) describing activities and achievements in this area. This provides evidence consistent with the criteria in Section III.
- an institutional service portfolio (if applicable) describing activities and achievements in this area. This provides evidence consistent with the criteria in Section III.

All publication entries (if applicable) should provide the names of co-authors in the order in which they appear in the publication, the page numbers, and in the case of books and monographs the total number of pages. Papers under review should be clearly identified and the submission and review time-line should be provided.

The Department Chair's independent assessment of the faculty member's performance will conclude with a recommendation and be included in a final package that, with the DPTC’s report outlined in Section IV above, is submitted to the College Promotion and Tenure Committee (CPTC) by the Dean. The CPTC will review the candidate's advancement package, including the Department Chair’s and DPTC’s recommendations, and submit their recommendation to the Dean. The deadline for the submission of the department’s package to the Dean and the CPTC’s recommendation to the Dean will coincide with that for the three-year tenure-track faculty reviews. The Dean will make his/her decision as to advancement and will notify the Department Chair in writing, at least 30 days prior to the end of the Spring semester. The package, including the Dean’s report and decision, will then be forwarded to the Provost for a final decision regarding the candidate’s advancement.

A reappointment decision, if appropriate, would be made independently of the advancement decision and should be based solely on the process outlined in sections I-III.

A description of the College Promotion and Tenure Committee along with their responsibilities can be found in the College of Business Faculty Review and Evaluation Policies and Procedures document dated April 17, 2002. The appeals process for any of the activities described above and other particulars not specifically described above will follow the guidelines also set forth in the College document.

VI. Five-Year Reviews of Senior Clinician or Senior Lecturer

In the year prior to the fifth anniversary of contract appointment to Senior Lecturer/Clinician, the individual will undergo a detailed performance review. During spring semester of the fourth year of appointment, the individual will submit a package containing a self-assessment of performance in the area of teaching and any other areas specified in his/her PRS. The
Department Chair and the DPTC will review this material and all PRRs submitted since the last Five-Year Review or since advancement, if this is the first review since then. The Department Chair and the DPTC will then submit independent assessments of the individual’s performance with constructive recommendations, if necessary, as to how the individual might improve performance. This package, with Department Chair and DPTC reports and recommendations, PRRs and personal statement, will be forwarded to the Dean prior to the end of the semester.

VII. Use of P&S Employees as Non-Tenure Eligible Faculty

When P&S employees are used to undertake work similar to that of a department’s Lecturers orClinicians, then the title of Lecturer or Clinician is appropriate to them as well. Consequently, the above policies and procedures hold for such P&S employee appointments with the following exceptions/adjustments:

- Individuals holding a full or part-time P&S position may have no more than 30% of their total work responsibilities assigned as non-tenure-eligible faculty responsibilities.
- All titles (e.g., Lecturer, Senior Lecturer) can be given for terms not to exceed five years, when a department foresees a continuing need for the P&S employees’ service in faculty roles. There is no required notice of intent not to renew for the non-tenure-eligible titles given to P&S employees and termination of the P&S appointment will also mean the termination of the non-tenure-eligible appointment.
- While the P&S employee will not have a Position Responsibility Statement, the department chair should specify in writing, at the time of appointment, the responsibilities associated with the non-tenure-eligible appointment,
- For P&S employees with non-tenure-eligible appointments, there is no minimum or maximum time in rank. For example, an employee may continue with the title of “Lecturer” for an indefinite time, with appropriate review.
- The P&S employee assigned a non-tenure-eligible title does not have voting rights as a faculty member.